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## Position on conflict minerals

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June 9<sup>th</sup>, 2020

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Alstom is committed to the highest standards of business conduct and ethics, as set out in Alstom's Code of Ethics and Sustainable Development Charter, as available on the Alstom website ([www.alstom.com](http://www.alstom.com)).

As member of the United Nations Global Compact initiative, Alstom embraces, supports and enacts a set of core values in the areas of human rights, employment standards, environment and ethics.

Alstom has implemented an extensive Sustainability & CSR policy and therefore requests its Suppliers and Sub-contractors to commit, in a continuous improvement approach, towards the social, environmental, health and safety requirements as detailed in its Ethics and Sustainable Development Charter for Alstom's Suppliers and Sub-contractors.

By signing this mandatory Charter, "Alstom's suppliers and sub-contractors that produce/supply goods containing tin, tantalum, tungsten or gold (3TG) commit to supporting Alstom in implementing a responsible supply chain in reference to the OECD Due-Diligence Guidance for Responsible Supply Chain of Minerals from Conflict-Affected and High Risk Areas. Alstom's Suppliers and sub-contractors commit, as a consequence thereof, to require from their own suppliers and sub-contractors similar commitment in order to establish a chain of custody to the smelters and refiners."

Alstom, a European-based Company, supports the deployment of European Regulation EU/2017/821 laying down supply chain due diligence obligations for Union importers of tin, tantalum and tungsten, their ores, and gold originating from conflict-affected and high-risk areas.

According to this regulation, which will enter into force on January 1st, 2021, Alstom is a "downstream user" of metals parts and electronic components and in this respect commits to converge with its suppliers and Sub-contractors to progressively source metals parts and electronic components from recognized responsible supply-chains. Alstom is currently conducting a survey to identify its suppliers' practices on commodities potentially identified at risk and is developing specific selection criteria.