
Position on conflict minerals

June, 2021

Alstom is committed to the highest standards of business conduct and ethics, as set out in Alstom's Code of Ethics and Sustainable Development Charter, as available on the Alstom website (www.alstom.com).

As a member of the United Nations Global Compact initiative, Alstom embraces, supports and enacts a set of core values in the areas of human rights, employment standards, environment and ethics.

Alstom has implemented an extensive Sustainability & CSR policy and therefore requests its Suppliers and Sub-contractors to commit, in a continuous improvement approach, towards the social, environmental, health and safety requirements as detailed in its Ethics and Sustainable Development Charter for Alstom's Suppliers and Sub-contractors.

By signing this mandatory Charter, "Alstom's suppliers and sub-contractors that produce/supply goods containing tin, tantalum, tungsten, gold (3TG) commit to supporting Alstom in implementing a responsible supply chain in reference to the OECD Due-Diligence Guidance for Responsible Supply Chain of Minerals from Conflict-Affected and High Risk Areas. Alstom's Suppliers and sub-contractors commit, as a consequence thereof, to require from their own suppliers and sub-contractors similar commitment in order to establish a chain of custody to the smelters and refiners."

Alstom, a European-based Company, supports the deployment of European Regulation EU/2017/821 laying down supply chain due diligence obligations for Union importers of tin, tantalum and tungsten, their ores, and gold originating from conflict-affected and high-risk areas. According to this regulation, which entered into force in 2021, Alstom is a "downstream user" of metal parts and electronic components and in this respect commits to converge with its suppliers and Sub-contractors to progressively source metal parts and electronic components from recognised responsible supply-chains. Alstom is currently conducting surveys to identify its suppliers' practices on commodities potentially identified at risk, enlarging its due diligence procedures to other minerals such as cobalt and their derivatives and is developing specific selection criteria.